

# Arnold's proof of the nonexistence of a solution to the quintic equation

Identity, Maths Club of IISER Kolkata

Indian Institute of Science Education and Research Kolkata

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Gadadhar Misra

Indian Statistical Institute Bangalore

And

Indian Institute of Technology Gandhinagar

# Square roots

Here is a proof that  $\sqrt{2}$  is not rational.

Suppose to the contrary that  $\sqrt{2} = \frac{p}{q}$  without any common factors.

Then  $\sqrt{2} = \frac{2q - p}{p - q}$  but with a smaller denominator leading to a contradiction.

For  $n \geq 3$ ,  $\sqrt[n]{2}$  is not rational either. If not, as before, we must have

$$p^n = 2q^n = q^n + q^n$$

for a pair of integer  $p$  and  $q$ . But this contradicts the Fermat's last theorem!

$\sqrt{2}$  and  $-\sqrt{2}$  can't be algebraically distinguished, that is, if  $\sqrt{2}$  is the solution of a polynomial equation with rational coefficients, then so is  $-\sqrt{2}$  and vice-versa. Such pairs are called conjugate.

More generally, two real numbers  $a$  and  $b$  are conjugate over  $\mathbb{Q}$  if for all polynomials  $p$  with coefficients in  $\mathbb{Q}$ ,

$$p(a) = 0 \iff p(b) = 0.$$

Similarly, two complex numbers  $z, z'$  are said to be conjugate if for all polynomials with coefficients in  $\mathbb{R}$

$$p(z) = 0 \iff p(z') = 0.$$

The two numbers  $i$  and  $-i$  are indistinguishable.

**Definition:** Let  $k \geq 0$ , and let  $(z_1, \dots, z_k), (z'_1, \dots, z'_k)$  be  $k$ -tuples of complex numbers. Then  $(z_1, \dots, z_k)$  and  $(z'_1, \dots, z'_k)$  are conjugate over  $\mathbb{Q}$  if for all polynomials  $p$  over  $\mathbb{Q}$  in  $k$  variables

$$p(z_1, \dots, z_k) = 0 \iff p(z'_1, \dots, z'_k) = 0.$$

**The symmetry group of a polynomial:** Write  $(s_1, \dots, s_k)$  for its distinct solutions in  $\mathbb{C}$ . The Galois group of  $p$  is

$$\text{Gal}(p) = \left\{ \sigma \in S_k : (s_1, \dots, s_k) \text{ and } (s_{\sigma(1)}, \dots, s_{\sigma(k)}) \text{ are conjugate} \right\}$$

'Distinct solutions' means that we ignore any repetition of roots:

if  $p(t) = t^5(t-1)^9$ , then  $k = 2$  and  $\{s_1, s_2\} = \{0, 1\}$ .

Informally, let us say that a complex number is radical if it can be obtained from the rationals using only the usual arithmetic operations

and  $k$ th roots. For example,  $\frac{\frac{1}{2} + \sqrt[3]{\sqrt[5]{2} - \sqrt[2]{7}}}{\sqrt[4]{6 + \sqrt[3]{\frac{2}{3}}}}$  is radical, whichever

square root, cube root, etc., we choose. A polynomial over  $\mathbb{Q}$  is solvable (or soluble) by radicals if all of its complex roots are radical.

Every quadratic over  $\mathbb{Q}$  is solvable by radicals. This follows from the quadratic formula:  $\frac{1}{2a}(-b \pm \sqrt{b^2 - 4ac})$  is visibly a radical number.

# Theorem of Galois

What determines if a polynomial is solvable by radicals?  
The amazing answer to this question was given by Galois.

Theorem: Suppose that  $p$  is a polynomial over  $\mathbb{Q}$ . Then  $p$  is solvable by radicals if and only if the Galois group  $\text{Gal}(p)$  is solvable.

We are going to however, discuss an elementary (by no means, trivial) proof due to Arnold.

# Solution of polynomial equations

Let  $p(z) = z^n + c_{n-1}z^{n-1} + \dots + c_1z + c_0$  be a polynomial with complex coefficients  $c_{n-1}, \dots, c_0$ . By the fundamental theorem of algebra, there are exactly  $n$  solutions to the equation  $p(z) = 0$ , say,  $\{s_1, \dots, s_n\}$ . What happens to the solutions  $\{s_1, \dots, s_n\}$  if we move the coefficients  $c_{n-1}, \dots, c_0$  a little and what happens the other way around?

The answer involves permutations, loops, roots (of complex numbers), finally commutators.

It is clear that given a set of complex numbers  $S = \{s_1, \dots, s_n\}$ , the set of solutions of

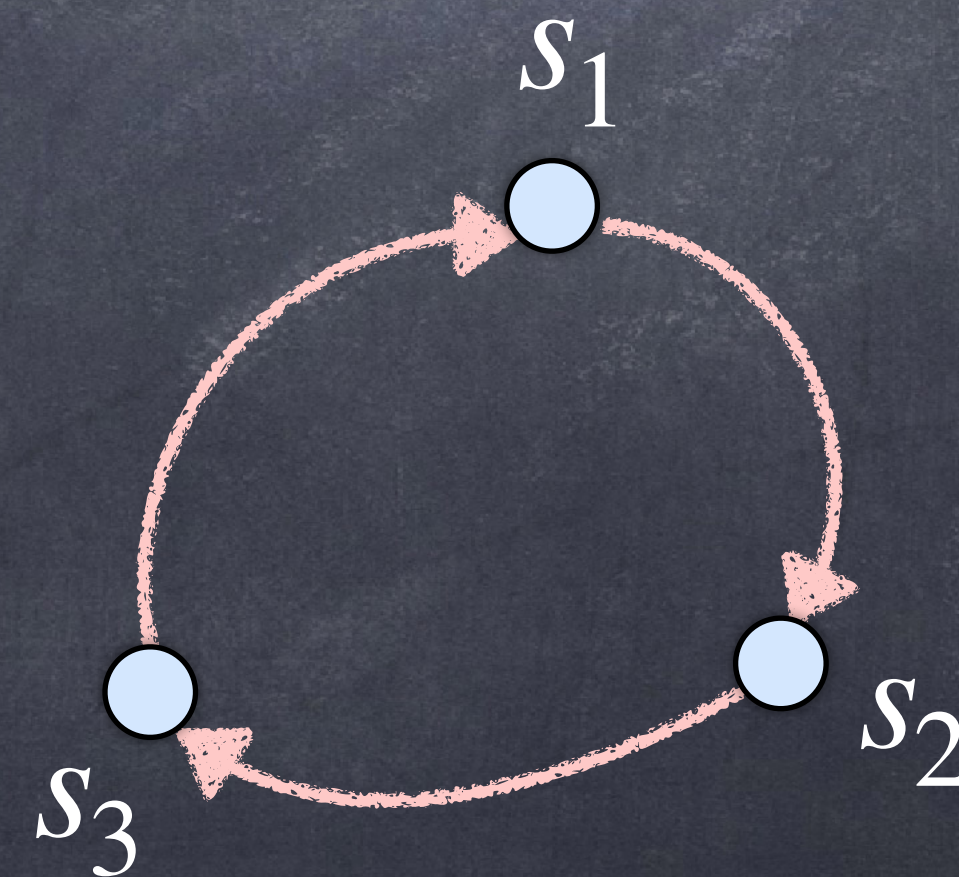
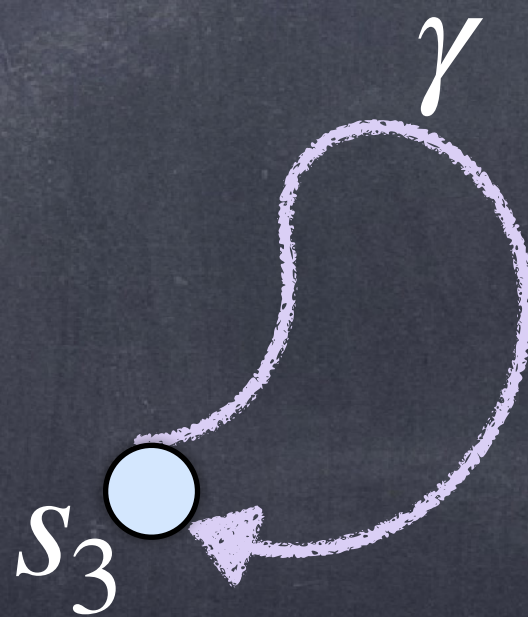
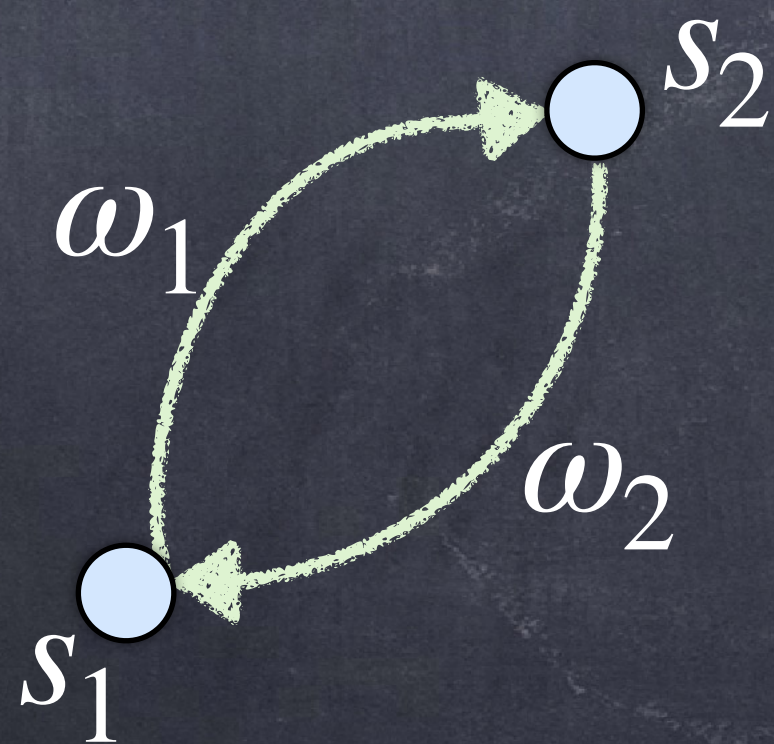
$$p(z) = 0, \text{ where } p(z) = (z - s_1) \cdots (z - s_n),$$

is exactly  $S$ . It is going the other way round, that is, how to find the solutions of a polynomial equation is not obvious.

# Two kinds of permutations

We discuss two kinds of permutations, namely, transpositions and cycle:

- transpositions, denoted  $(ij)$ , exchanging the position of two solutions, i.e.,  $s_i \rightarrow s_j$ .
- cycles, denoted  $(ijk)$ , exchanging the position of three solutions cyclically, i.e.,  $s_i \rightarrow s_j$ ,  $s_j \rightarrow s_k$ , and  $s_k \rightarrow s_i$ .





# Loops and permutations

Locating the solutions  $(s_1, \dots, s_n)$  in  $\mathbb{C}$ , we can think of a permutation to be a path traveling from one solution to another.

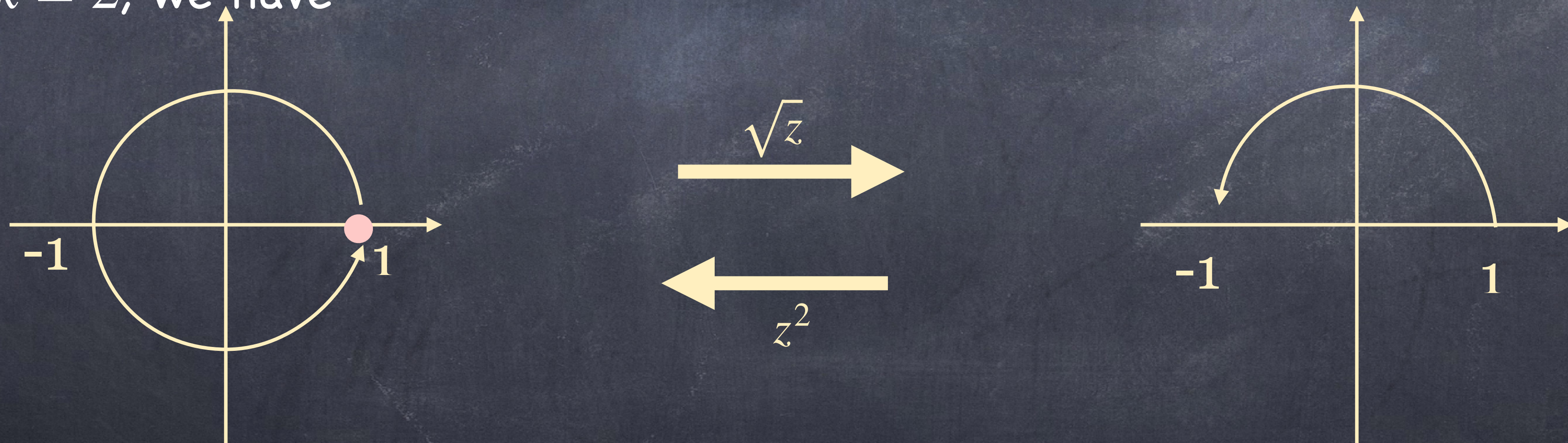
Paths in the complex plane are just continuous curves that connect two points (we assume that they do not self-intersect, otherwise things get unnecessarily complicated).

A path that closes, i.e., connects a point to itself, is called a loop and denoted  $\gamma$ .

These paths will be represented by arrows in all the figures, and will be used to induce permutations on the solutions  $(s_1, \dots, s_n)$ .

# How complex roots move around in $\mathbb{C}$

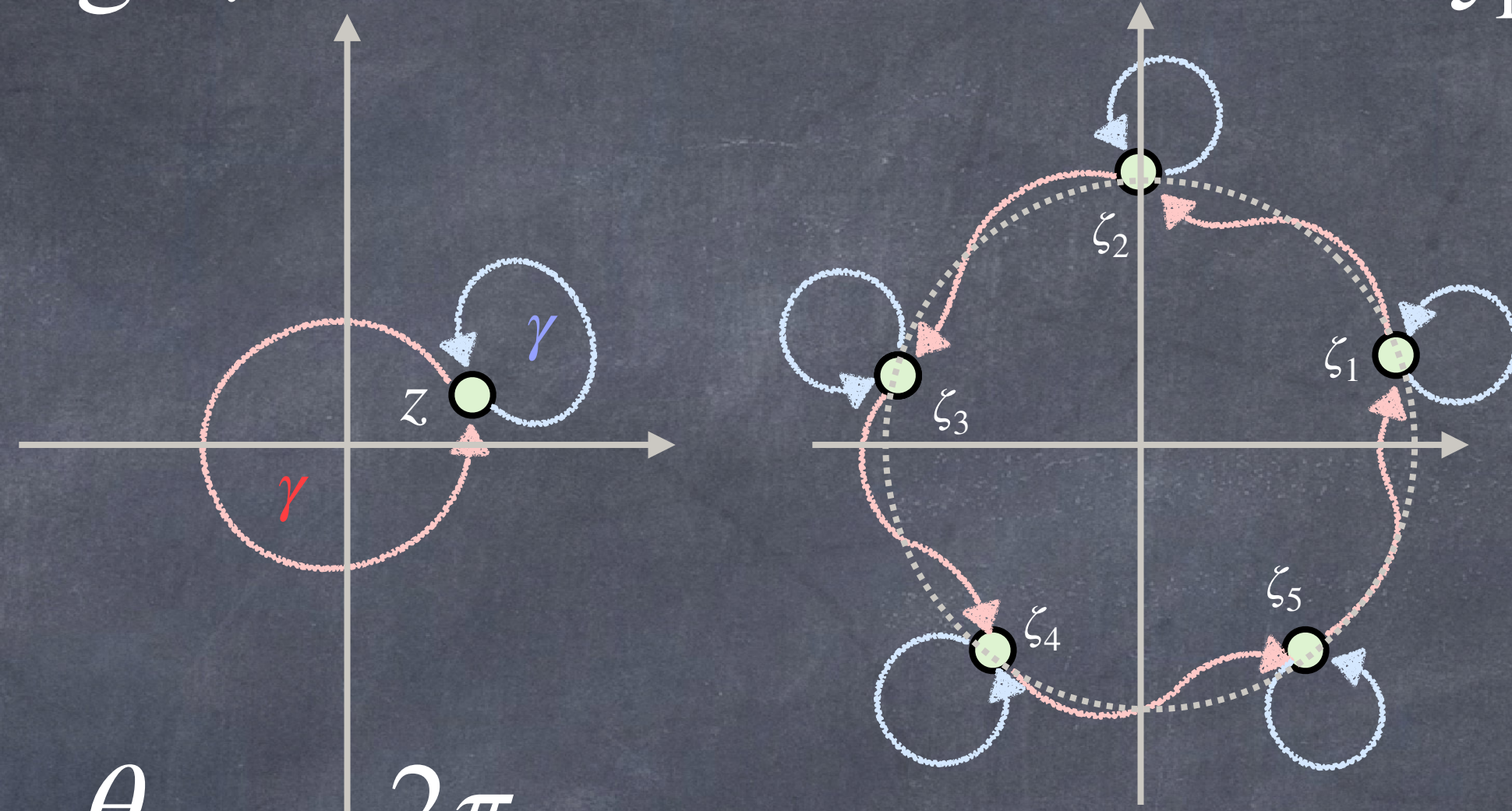
Fixing some complex number  $z$ , a root of  $z$  is some number  $\zeta$  in  $\mathbb{C}$  such that  $\zeta^k = z$  for some  $k \in \mathbb{N}$ . By the fundamental theorem of algebra, there are exactly  $k$  such  $k$ th roots  $\zeta$  of  $z$ ; and  $z$ . Thus,  $\sqrt[k]{z}$  denotes a multivalued function of the complex variable  $z$ . With a little abuse of notation, we let  $\sqrt[k]{z}$  also denote any of the  $k$ th roots of  $z$ . Fixing  $k \in \mathbb{N}$  and assuming that  $z$  itself follows a loop  $\gamma$ , we ask what kind of path  $\sqrt[k]{z}$  follows. Notice that with  $k = 2$ , we have



When  $z$  follows a loop  $\gamma$ ,  $\sqrt{z}$  does not always follow a loop.

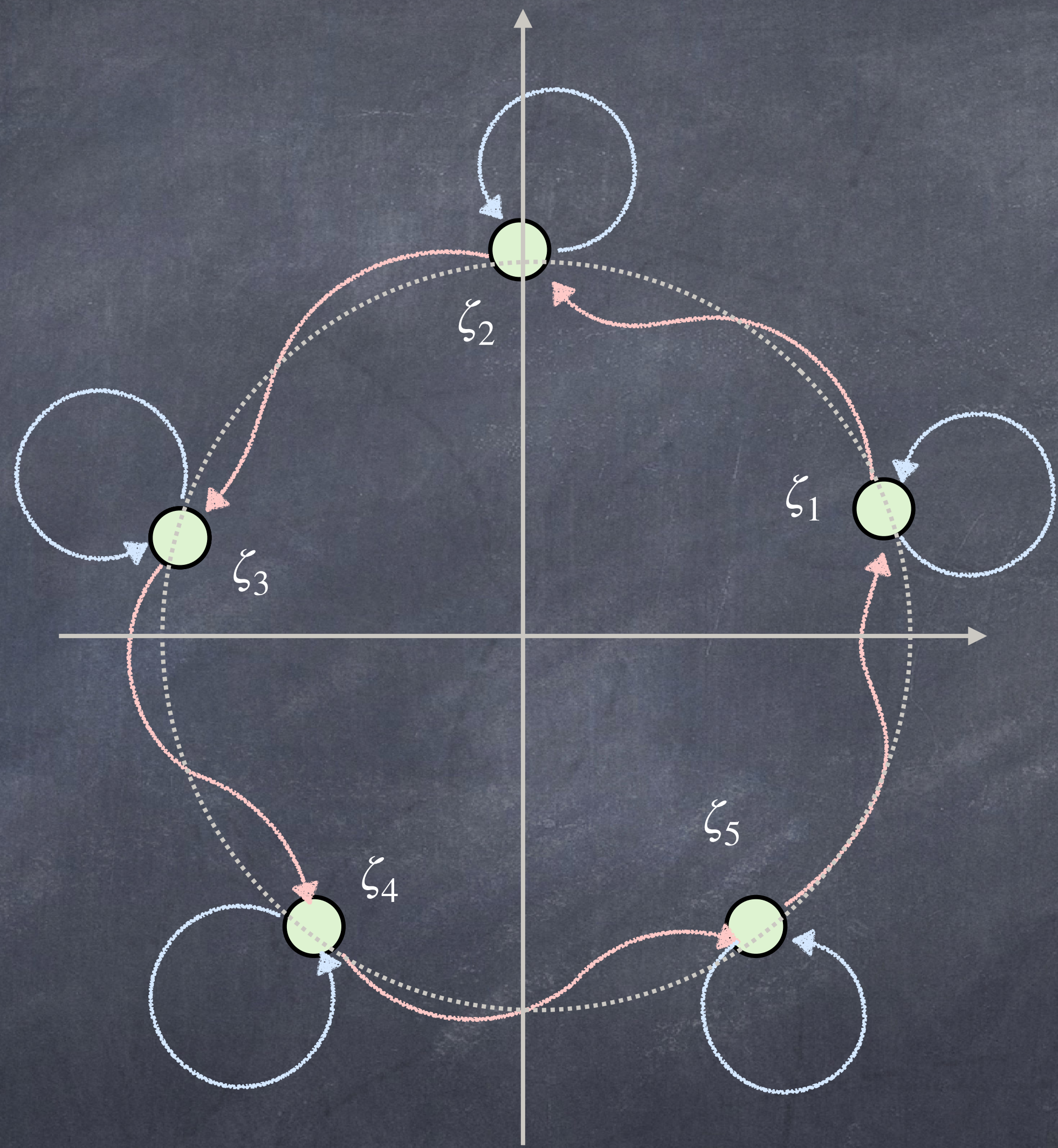
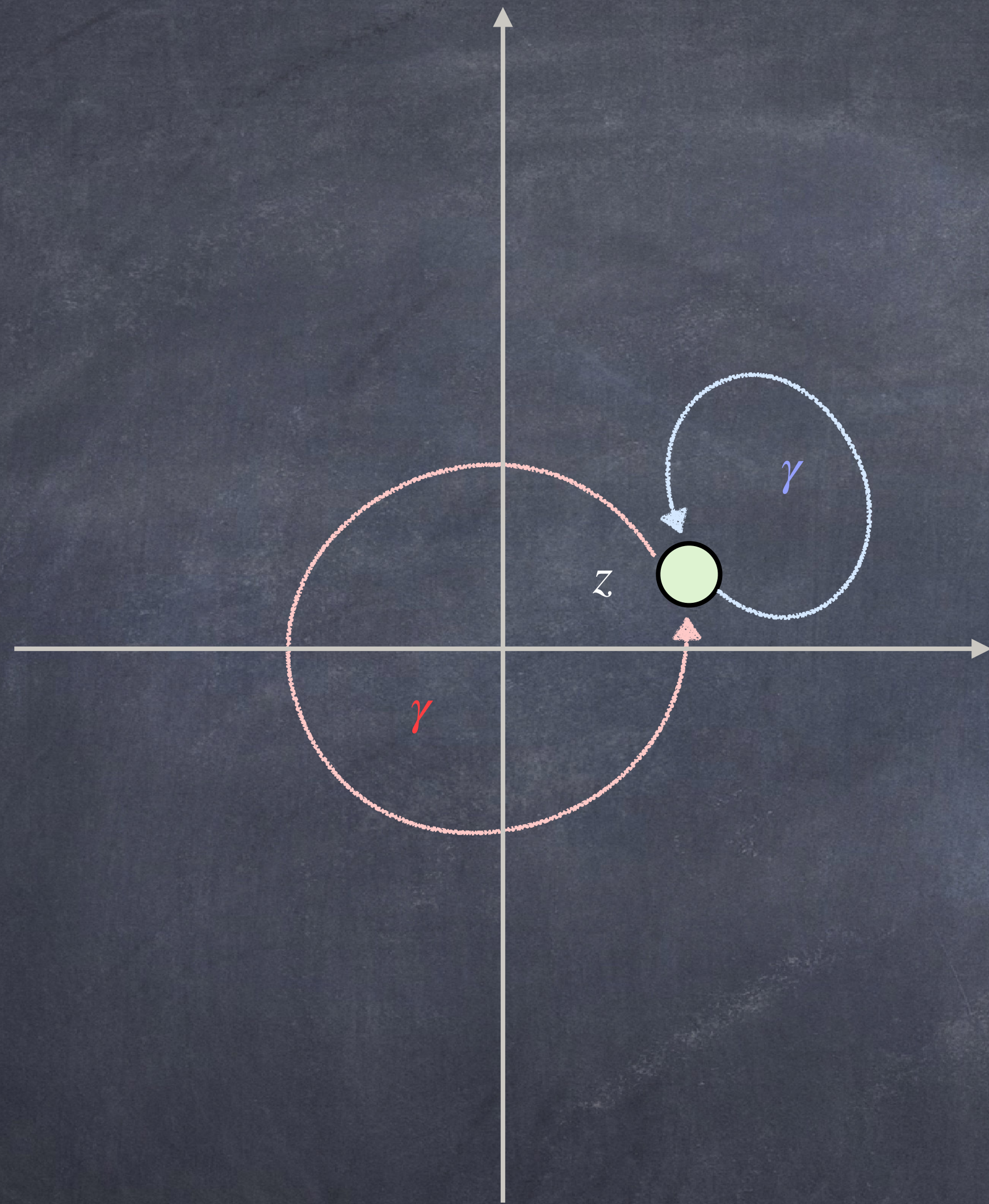
Set  $z = re^{i\theta}$  with  $r = |z|$  and  $\theta = \arg z$ , and write the  $k$ th roots  $\zeta_1, \dots, \zeta_k$  explicitly as

$$\zeta_\ell = \sqrt[k]{r} e^{i(\theta + 2\ell\pi)/k}, \ell \in \{1, \dots, k\}.$$



Thus, the argument  $\arg(\zeta_\ell)$  of  $\zeta_\ell = \frac{\theta}{k} + \ell \frac{2\pi}{k}$ . This means that all roots are equally spaced on the circle of radius  $\sqrt[k]{r}$ , at angle  $\frac{2\pi}{k}$  apart.

As  $z$  travels along a path  $\gamma$ , winding once around 0, its  $k$ th roots also move around since the  $\arg(z)$  has gone from  $\theta$  to  $\theta + 2\pi$ . Each  $k$ th root  $\zeta_\ell$  has moved to its closest, counter clock-wise neighbour  $\zeta_{\ell+1}$ . In particular, the roots have not completed a loop.



A formula for a solution  $s$  of a polynomial equation of the form  $p(z) = 0$ , in general, is of the form  $s = R(c_0, c_1, \dots, c_{n-1})$ , where  $R$  is some function of the coefficients  $c_0, \dots, c_{n-1}$  of  $p$  obtained by using  $+$ ,  $-$ ,  $\times$ ,  $\div$ ,  $\sqrt{\quad}$ .

A hierarchy of functions: The first ones, say  $R_0$ , that are made out of the coefficients  $c_0, \dots, c_{n-1}$  using only  $+$ ,  $-$ ,  $\times$ ,  $\div$ . These are polynomial, or more generally, rational functions of the coefficients of the polynomial  $p$ .

Therefore, if two or more of these coefficients follow a loop the function of type  $R_0$  also follows a loop.

This property of  $R_0$  functions is not shared by  $R_1$  functions obtained from  $R_0$  functions by taking roots, as we have seen.

When  $(c_0, \dots, c_{n-1})$  follow a loop,  $R_2$ -functions do not necessarily follow a loop.

We can build  $R_2$ -functions by taking roots of  $R_1$ -functions building higher order of nesting in the coefficients at each stage. Consider for example:

$$R_0 = -\frac{c_3}{6} + c_0, \text{ or } c_2^3 + c_1,$$

$$R_1 = \sqrt{c_5^2 - 3} + \frac{1}{2}c_4^2 - \sqrt[3]{c_0},$$

$$R_2 = \sqrt[3]{\frac{2}{3}c_3^2 - c_1} + \sqrt{\frac{1}{3}c_2 + \sqrt[5]{c_5^2 + c_0 - 1} + c_4}, \dots$$

# Quadratic Equation

First observation: Coefficients  $c_0, c_1, \dots, c_{n-1}$  are symmetric functions of the solutions  $\{s_1, \dots, s_n\}$ . This follows since the polynomial  $(z - s_1) \cdots (z - s_n)$  is independent of the ordering of the solutions  $\{s_1, \dots, s_n\}$ .

For  $n = 2$ , if the two solutions  $s_1, s_2$  are permuted using the transposition, the coefficients  $(c_0, c_1)$  each move on some path but they must come back to the original position when  $s_0$  and  $s_1$  exchange their position.

Theorem: There is no map  $R_0 : \mathbb{C}^2 \rightarrow \mathbb{C}$  such that  $R_0(c_0, c_1)$  is always a solution to the quadratic equation  $p(z) = 0$ , where  $p(z) = z^2 + c_1z + c_0$ .

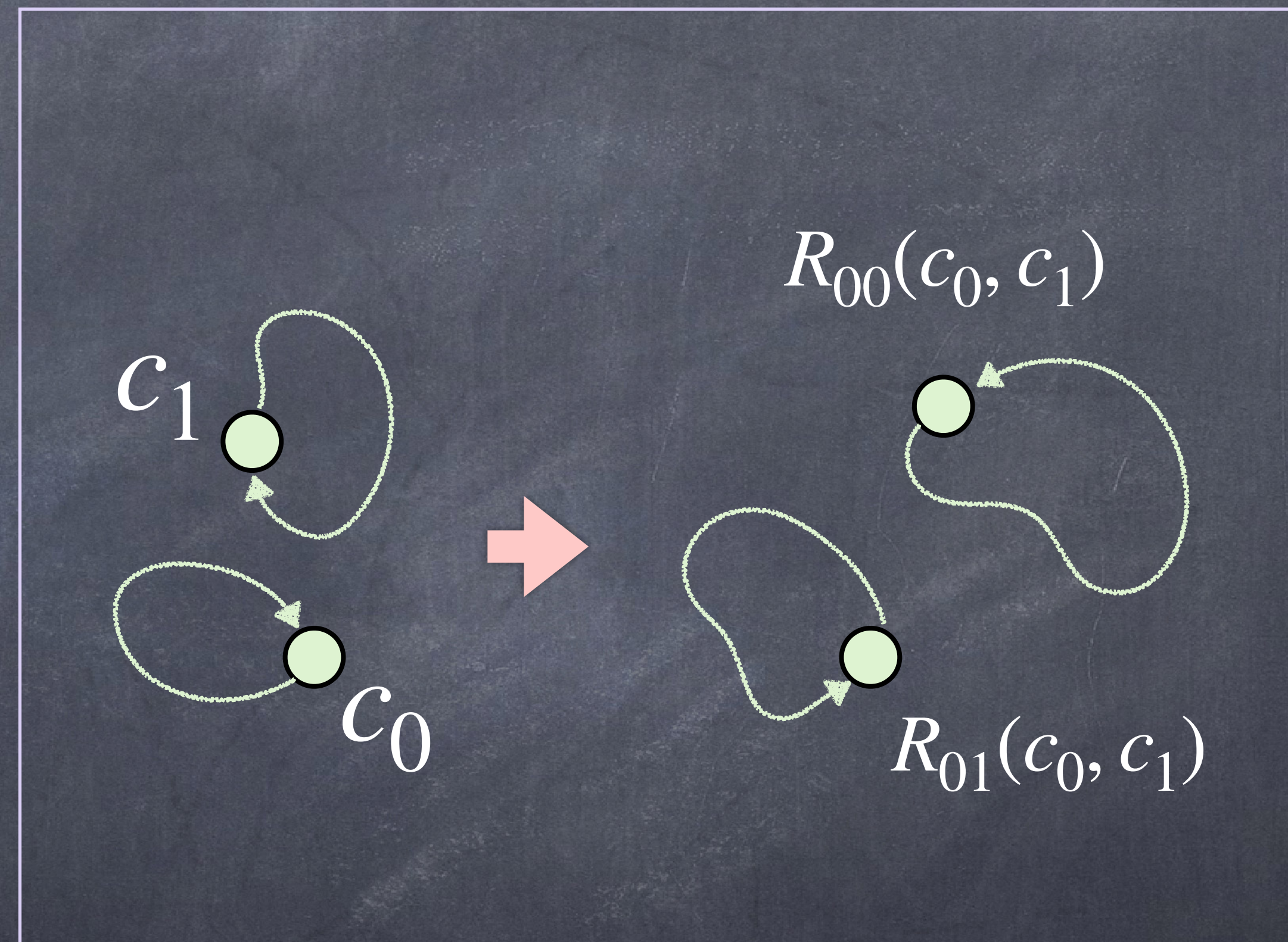
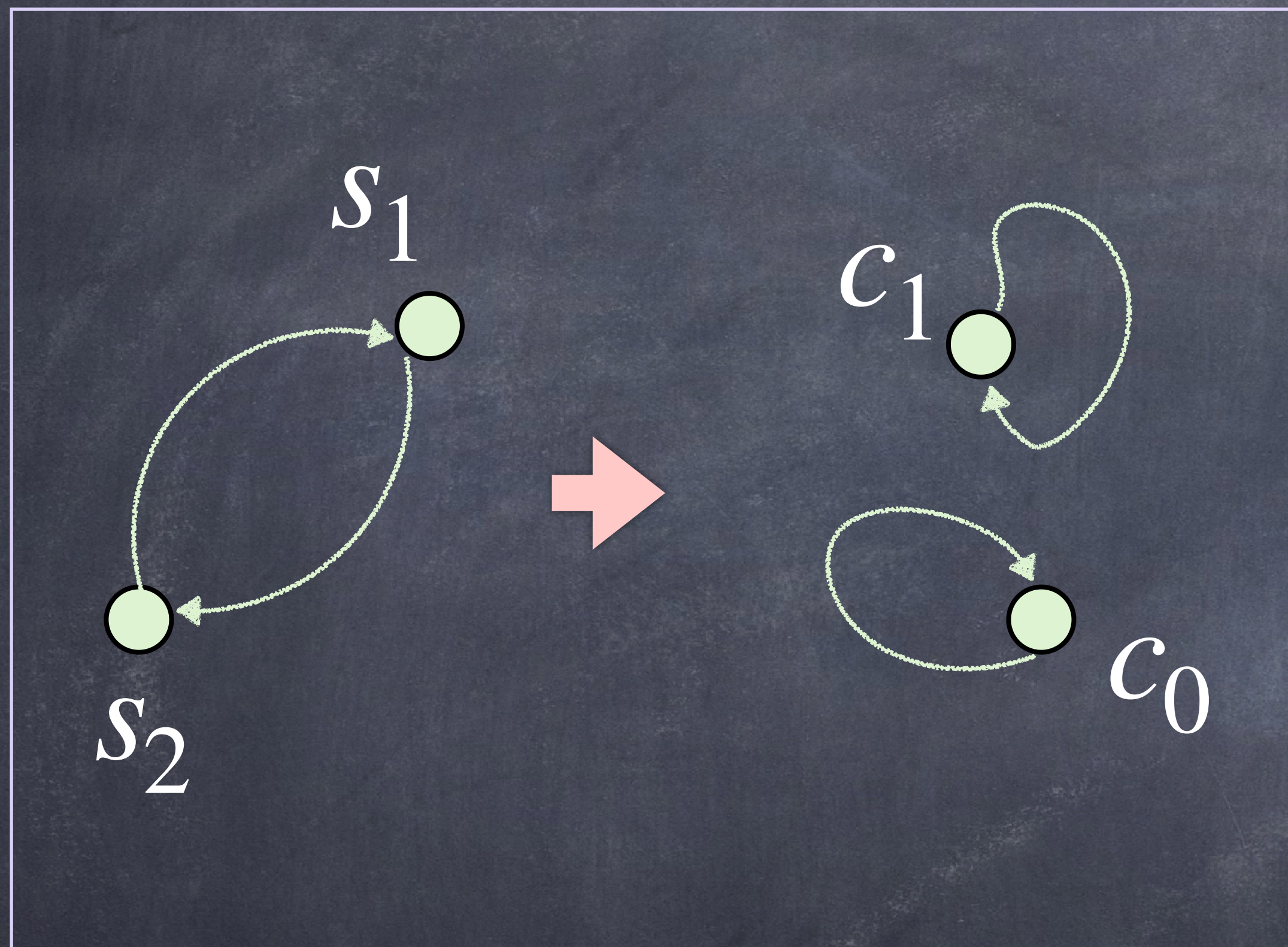
- The transposition (12) swaps the two solutions  $s_1$  and  $s_2$ . Pick a continuous path  $s_1(t)$  starting at  $s_1 := s_1(0)$  and ending at  $s_1(1) = s_2 = s_2(0)$ . Also, choose a path  $s_2$  starting at  $s_2 = s_2(0)$  and ending at  $s_2(1) = s_1 = s_1(0)$ .
- The coefficients  $c_0(t), c_1(t)$  are continuous symmetric functions of the solutions  $\{s_1(t), s_2(t)\}$ , therefore their final positions are the same as the initial positions. Thus, each  $c_0, c_1$  defines a loop. The functions

$$R_{0i}(c_0(t), c_1(t)) = s_i(t), \quad i = 1, 2,$$

being a continuous function of  $c_0, c_1$ , by hypothesis, will also follow its own loop.

- Consequently, as  $t$  runs from 0 to 1, the solutions  $s_1$  and  $s_2$  swap their positions while  $R_{01}(c_0(0), c_1(0))$  and  $R_{02}(c_0(1), c_1(1))$  coincide leading to a contradiction.





# The cubic Equation

- Let  $p(z) = 0$ , where  $p(z) = z^3 + c_2z^2 + c_1z + c_0$ , be the cubic equation.

- Again, assume that we have solutions of the form

$$s_i = R_{1i}(c_0, c_1, c_2), i = 1, 2, 3,$$

involving one level of radicals.

- We still have that each of the coefficients follow a loop as solutions permute.

- However, functions like  $R_1$  with radicals in them no longer follow a loop.

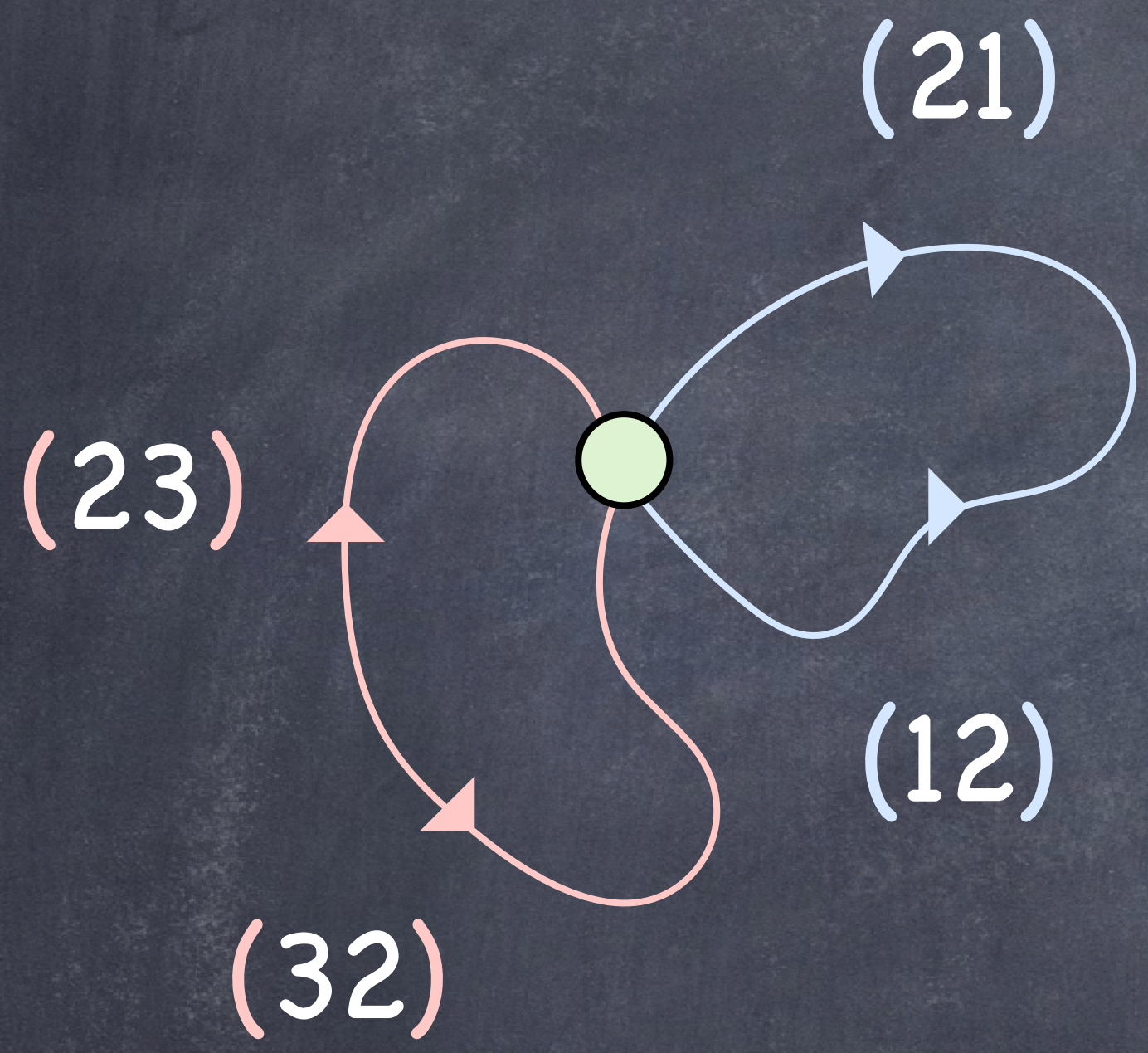
- We need a new idea!

# Commutators

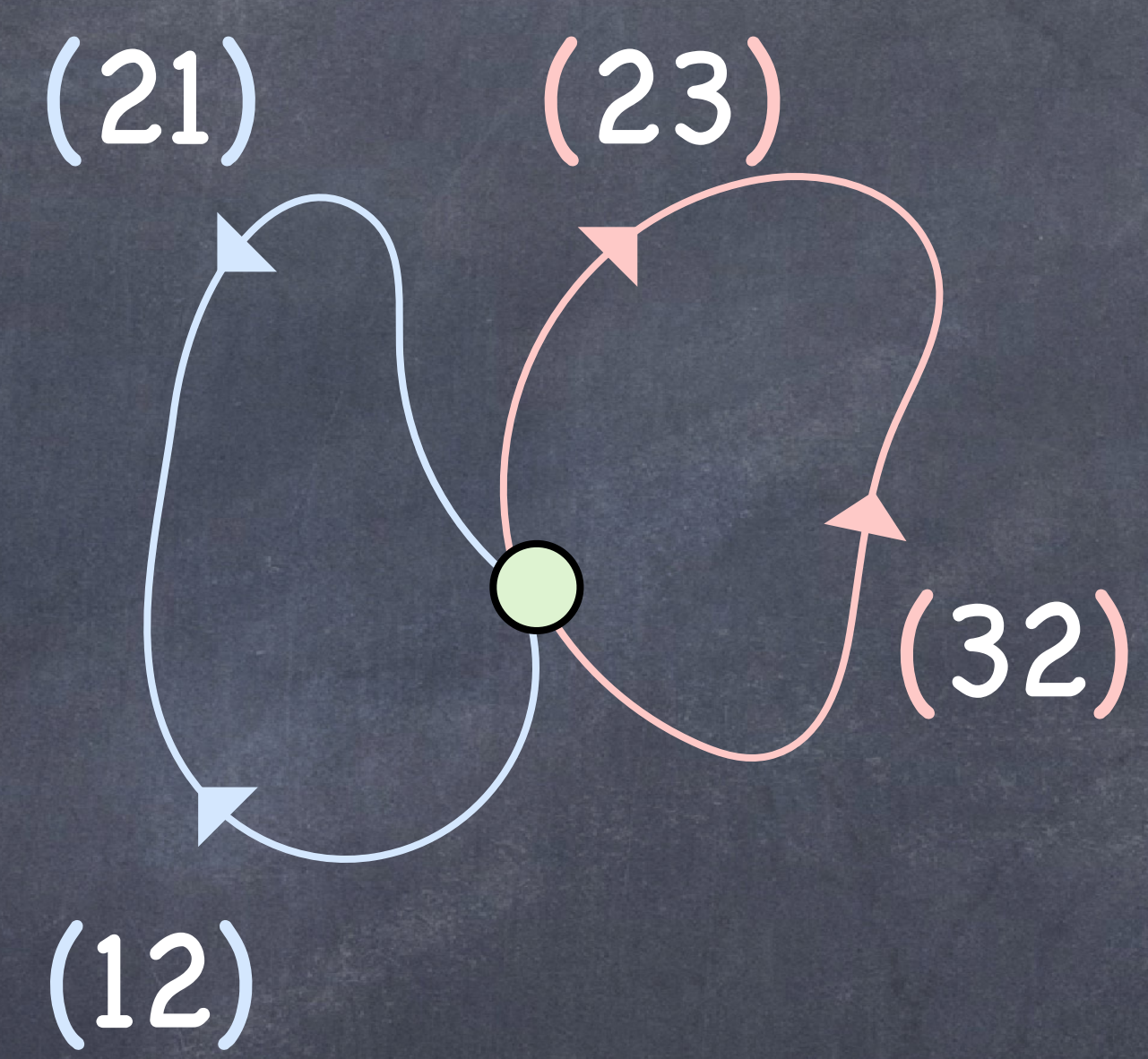
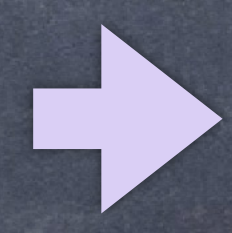
- Consider the transposition (12) that induces a loop  $\gamma_1$  on  $R_0$  and an unclosed path  $\omega_1$  on  $R_1$ . Consider also (23), inducing a loop  $\gamma_2$  on  $R_0$  and a path  $\omega_2$  on  $R_1$ . Now perform the following sequence of transpositions, called the commutator of (12) and (23), and denoted

$$[(12), (23)] = (12)(23)(12)^{-1}(23)^{-1}.$$

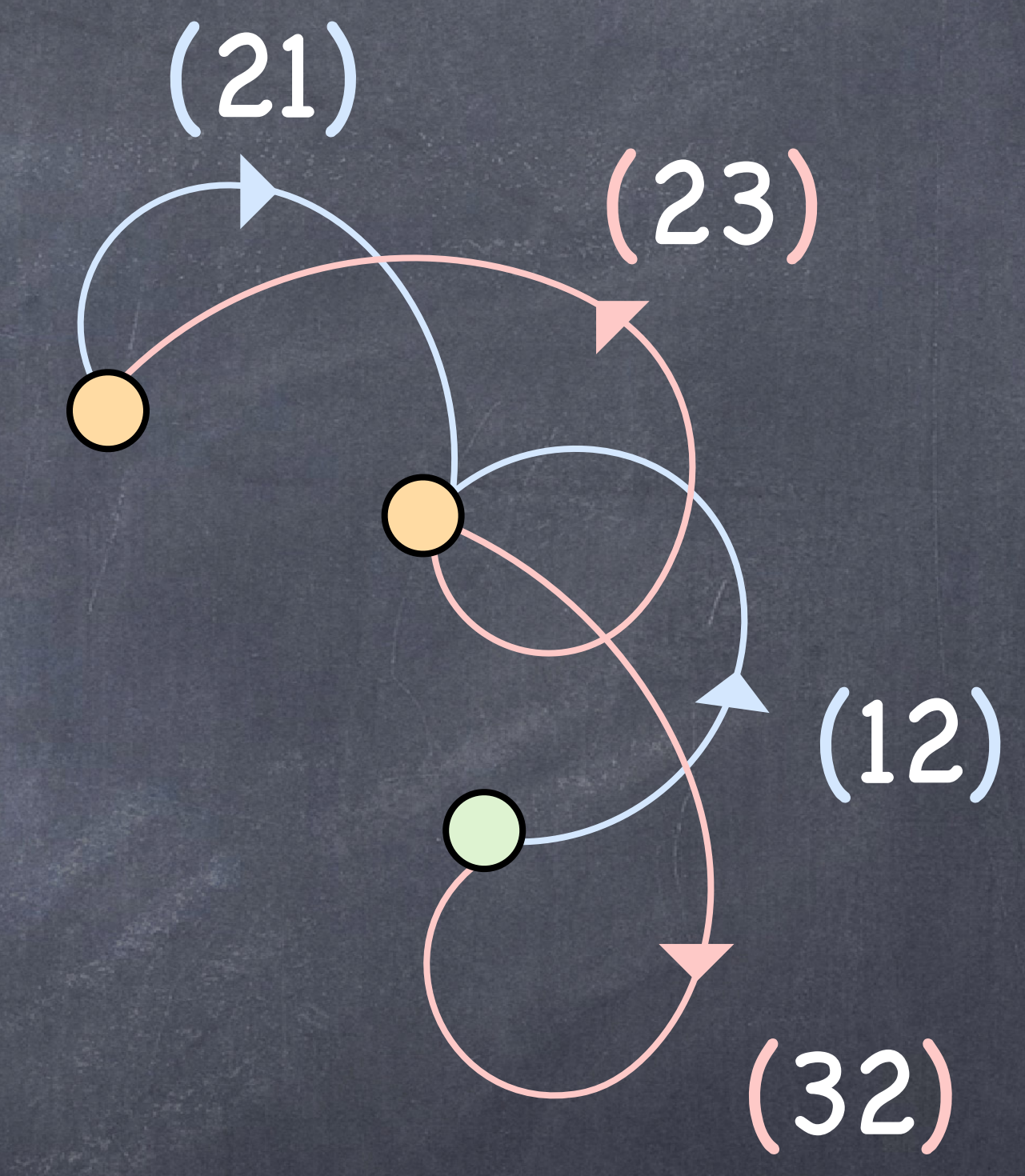
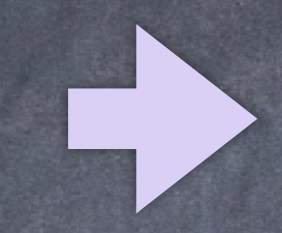
- Since  $(12)^{-1}$  is (21), and  $(23)^{-1} = (32)$ , it follows that  $[(12), (23)]$  is the cycle (123). Indeed, this is true of any pair of transposition, namely,  $[(ij), (jk)] = (ijk)$ .
- Therefore,  $[(12), (23)]$  permutes the three solutions  $(s_1, s_2, s_3)$ .
- Now,  $R_0$  follows a sequence of loops  $\gamma_1\gamma_2\gamma_1^{-1}\gamma_2^{-1}$ , which is itself a loop, however,  $R_1$  follows a sequence of **unclosed** paths  $\omega_1\omega_2\omega_1^{-1}\omega_2^{-1}$  (visiting other roots) but closes on itself by construction.



$C$



$R_0$



$R_1$

- Suppose that  $(s_1, s_2, s_3)$  undergoes the permutation (123).
- Then both  $R_0$  and  $R_1$  follow a loop. Consequently, we can't have equalities:  $s_i = R_{1i}(c_0, c_1, c_2)$ ,  $i = 1, 2, 3$ .
- Theorem: There is no map  $R_1 : \mathbb{C}^3 \rightarrow \mathbb{C}$  such that  $R_1(c_0, c_1, c_2)$  is always a solution to the cubic equation

$$p(z) = 0, \text{ where } p(z) = z^3 + c_2z^2 + c_1z + c_0.$$

# The Quartic

- We have seen that solutions of a cubic equation, in general, cannot be written using functions of type  $R_1$  (one level of roots).

- Now, for the quartic equation,

$$p(z) = 0, \text{ where } p(z) = z^4 + c_3z^3 + c_2z^2 + c_1z + c_0,$$

- Assume that we have a solution of the form:

$$s_i = R_{2i}(c_0, c_1, c_2, c_3), i = 1, 2, 3, 4,$$

with two levels of the nesting of roots.

The proof consists of constructing an appropriate permutation of the solutions  $\{s_1, s_2, s_3, s_4\}$ .

- As before, like the method for the quadratic did not work for the cubic, the method for the cubic doesn't really work for the quartic.
- Hunt for a new idea again, this time, we look at a commutator of two cycles  $(123)$  and  $(234)$  and note that it indeed permutes the four solutions since  $[(123), (2,3,4)] = (14)(23)$ .
- Applying  $(123) = [(12), (23)]$  followed by  $(234) = [(23), (34)]$  to functions of type  $R_1$  produces two closed loops  $\gamma_1$  followed by  $\gamma_2$  coming back to the original position.
- However, functions of type  $R_2$  will move along two generally unclosed paths  $\omega_1$  and  $\omega_2$ .

- Second, we apply these two paths backwards, in reverse, that is,  $(432) = [(43), (32)]$  and then  $(321) = [(32), (21)]$ . During these two,  $R_1$ -functions will follow  $\gamma_2^{-1}\gamma_1^{-1}$ , i.e. the previous loops backwards. Similarly,  $R_2$ -functions will travel along  $\omega_2^{-1}\omega_1^{-1}$ .
- Thus, the  $R_1$ -functions follow the loop  $\gamma = \gamma_1\gamma_2\gamma_1^{-1}\gamma_2^{-1}$ ; and  $R_2$  functions a sequence of unclosed paths  $\omega_1\omega_2\omega_1^{-1}\omega_2^{-1}$ , which closes on itself by construction.
- Our conclusion has therefore been reached: while  $(s_1, s_2, s_3, s_4)$  undergoes the permutation  $(14)(23)$  written as a commutator of commutators, any  $R_2$ -function follows a loop.



# The quintic

- Let  $p(z) = 0$ , where  $p(z) = z^5 + c_4z^4 + c_3z^3 + c_2z^2 + c_1z + c_0$  be the quintic equation. Suppose that

$$s_i = R_{3i}(c_0, \dots, c_4) \text{ for } i \in \{1, \dots, 5\},$$

where the functions  $R_{3i}$  has three nested levels of roots.

- Following what is done for  $n = 2, 3, 4$ , note that (1) all  $R_k$ -functions with  $k = 0, 1, 2$ , will follow a loop from a commutator of commutators of the solutions (as in the quartic case), but (2) we will need one more level of commutators for the additional root appearing in  $R_3$ .
- In general, for  $n = 5$ , we have  $[(ijk), (k\ell m)] = (jkm)$ .

- Thus, any cycle  $(jkm)$  can be written as a commutator of two other cycles, namely  $[(ijk), (k\ell m)]$ .
- But notice that this is true for any cycle  $(jkm)$ , including  $(ijk)$  and  $(k\ell m)$  on the left-hand side of the equality:  $[(ijk), (k\ell m)] = (jkm)$ . In other words, this formula can be applied to itself.
- Hence the cycle  $(jkm)$  can be written as a nested commutator of commutators as many as times as we want.
- Since a number  $m \in \mathbb{N}$  of commutators allows us to discard precisely  $m$  levels of roots in a formula, we can actually discard any number of roots in any proposed formula for the quintic!

# A "fifteen minute" proof!

Let  $\mathcal{C}$  denote the space of coefficients of the quintic minus those leading to double roots.

Let  $\mathcal{S}$  denote the space of solutions to a quintic consisting of five distinct unordered complex numbers  $\{s_1, \dots, s_5\}$ . Order these, in anyway you like when a fixed but arbitrary quintic is chosen.

- There is a map from the space of loops to the permutation group  $S_5$ .
- This map is onto.
- Suppose that  $\gamma \in \pi_1(\mathcal{C})$  induces a cycle  $(1,2,3)$ . Then  $F \circ \gamma(0) = \gamma_1 = F \circ \gamma(1)$  which is a contradiction!

Paul Ramond, Abel–Ruffini’s Theorem: Complex but Not Complicated!

LEO GOLDBERGER, Arnold’s elementary proof of the insolvability of the quintic

Akalin F. (2016). Why is the Quintic Unsolvable? – akalin.com/quintic-unsolvability <https://www.youtube.com/watch?v=BSHv9Elk1MU>

Boaz Katz, <https://www.youtube.com/watch?v=zeRXVL6qPk4&t=530s>

Leo Stein, <https://duetosymmetry.com/tool/polynomial-roots-toy/>

Ramaprasad Saptharisi, <https://www.youtube.com/watch?v=O5eH3x3sTNA>

Carl Turner(Not all wrong), <https://www.youtube.com/watch?v=BSHv9Elk1MU>

