

Is ψ -Epistemic Theory at Stake?

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Brief Description of QM

State $\Rightarrow |\psi\rangle$ (Unit vector in H)

Observable: A (Hermitain operator on H)

$$A = \sum a_i |\phi_i\rangle\langle\phi_i| = \sum a_i P_i, \quad \sum P_i = I$$

(1) Measurement result is one of the Eigen values.

$$\begin{array}{cccccc} |\psi\rangle & |\psi\rangle & |\psi\rangle & |\psi\rangle & |\psi\rangle & |\psi\rangle \\ |\psi\rangle & |\psi\rangle & |\psi\rangle & |\psi\rangle & |\psi\rangle & |\psi\rangle \\ |\psi\rangle & |\psi\rangle & |\psi\rangle & |\psi\rangle & |\psi\rangle & |\psi\rangle \\ \dots & \dots & \dots & \dots & \dots & \dots \end{array}$$

(2) $p(a_i|\psi) = \langle\psi|P_i|\psi\rangle = |\langle\psi|\phi_i\rangle|^2$ (Born probability)

(3) $|\psi\rangle \rightarrow |\phi_i\rangle$ (Measurement collapse)

Copenhagen Interpretation and Einstein

Bhor' statement:

It is wrong to think that the task of physics is to find out how nature is. Physics concerns what we say about nature.

Pauli's statement:

Observations not only disturb what has to be measured, they produce it We compel (the electron) to take a definite position. ... We ourselves produce the result of measurement.

Question of reality independent of measurement is denied.

ψ only represents pattern of the results for some future measurements.

Einstein:

The fact suggests that Einstein....., but one wherein quantum states are solely representative of our knowledge. (Harrigan & Spekkens, 2010)

Two Pictures:

QM

$|0\rangle |0\rangle |0\rangle |0\rangle |0\rangle |0\rangle$

$|0\rangle |0\rangle |0\rangle |0\rangle |0\rangle |0\rangle$

... ...

... ...

Measure σ_x

50% *up* (+1)

50% *down* (-1)

Measurement creates the result for σ_x .

Individual particle has definite
value for both σ_x and σ_z .

$\sigma_z = 1$

$\sigma_z = 1$

$\sigma_z = 1$

$\sigma_x = 1$

$\sigma_x = -1$

$\sigma_x = -1$

$\sigma_z = 1$

$\sigma_z = 1$

$\sigma_z = 1$

$\sigma_x = 1$

$\sigma_x = -1$

$\sigma_x = 1$

...

...

...

...

...

Measure σ_x

50% *up* (+1)

50% *down* (-1)

Measurement reveals the
pre-existing value of σ_x .

Uncertainty and measurement incompatibility cannot discard the 2nd picture.

Formal HVT Model

(ψ -supplemented)

Quantum ensemble

$ \psi\rangle$	$ \psi\rangle$	$ \psi\rangle$	$ \psi\rangle$	$ \psi\rangle$
$ \psi\rangle$	$ \psi\rangle$	$ \psi\rangle$	$ \psi\rangle$	$ \psi\rangle$
$ \psi\rangle$	$ \psi\rangle$	$ \psi\rangle$	$ \psi\rangle$	$ \psi\rangle$
...
...



Scenario in HVT

(ψ, λ_1)	(ψ, λ_2)	(ψ, λ_5)	(ψ, λ_3)	(ψ, λ_2)
(ψ, λ_7)	(ψ, λ_2)	(ψ, λ_8)	(ψ, λ_1)	(ψ, λ_{13})
(ψ, λ_1)	(ψ, λ_5)	(ψ, λ_9)	(ψ, λ_1)	(ψ, λ_2)
...
...

(ψ, λ) *decides the values of all observables.*

$v_{\psi, \lambda}(A) = \text{one of the Eigen value of } A$

To be compatible with QM:

$$\int v_{\psi, \lambda}(A) \mu_{\psi}(\lambda) d\lambda = \langle A \rangle_{\psi} \Leftrightarrow \int v_{\psi, \lambda}(P_i) \mu_{\psi}(\lambda) d\lambda = \langle \psi | P_i | \psi \rangle$$

where $\mu_{\psi}(\lambda) \geq 0$, $\int \mu_{\psi}(\lambda) d\lambda = 1$

ψ -Epistemic Model

(Quantum state is not part of reality)

Quantum ensemble

$ \psi\rangle$	$ \psi\rangle$	$ \psi\rangle$	$ \psi\rangle$	$ \psi\rangle$
$ \psi\rangle$	$ \psi\rangle$	$ \psi\rangle$	$ \psi\rangle$	$ \psi\rangle$
$ \psi\rangle$	$ \psi\rangle$	$ \psi\rangle$	$ \psi\rangle$	$ \psi\rangle$
...
...



Scenario in HVT

λ_{13}	λ_{15}	λ_5	λ_1	λ_6
λ_1	λ_7	λ_5	λ_2	λ_8
λ_1	λ_{11}	λ_3	λ_1	λ_{10}
...
...

λ decides the values of all observables.

$v_\lambda(A) = \text{one of the Eigen value of } A$

To be compatible with QM:

$$\int v_\lambda(A) \mu_\psi(\lambda) d\lambda = \langle A \rangle_\psi \Leftrightarrow \int v_\lambda(P_i) \mu_\psi(\lambda) d\lambda = \langle \psi | P_i | \psi \rangle$$

where $\mu_\psi(\lambda) \geq 0$, $\int \mu_\psi(\lambda) d\lambda = 1$

It's not just flawed, it's silly!

Von Neumann claimed 'No' to the possibility of dispersion free description:

$$A + B = C$$

Condition imposed by Von Neumann:

$$\langle A \rangle_S + \langle B \rangle_S = \langle C \rangle_S$$

S being the state of the theory.

Eigen value of A + Eigen value of B = Eigen value of C

Verifiable relation:

$$\langle \psi | A | \psi \rangle + \langle \psi | B | \psi \rangle = \langle \psi | C | \psi \rangle$$

$$\int v_\lambda(A) \mu_\psi(\lambda) d\lambda + \int v_\lambda(B) \mu_\psi(\lambda) d\lambda - \int v_\lambda(C) \mu_\psi(\lambda) d\lambda = 0$$

$$\int [v_\lambda(A) + v_\lambda(B) - v_\lambda(C)] \mu_\psi(\lambda) d\lambda = 0$$

$v_\lambda(A) + v_\lambda(B) - v_\lambda(C) = 0$ may be a sufficient condition for the above but hardly a necessary condition.

Statistics for spin measurement

Every qubit state is eigen state of some spin observable $\mathbf{n} \cdot \boldsymbol{\sigma}$.

Let $|\psi\rangle$ is up eigen state of $\mathbf{n} \cdot \boldsymbol{\sigma}$.

$$|\psi\rangle\langle\psi| = \frac{1}{2} [I + \mathbf{n} \cdot \boldsymbol{\sigma}], \quad |\mathbf{n}| = 1$$

Then for spin measurement along vector \mathbf{m} :

$$\left. \begin{aligned} p(+1|\psi) &= \frac{1}{2} (1 + \mathbf{n} \cdot \mathbf{m}) \\ p(-1|\psi) &= \frac{1}{2} (1 - \mathbf{n} \cdot \mathbf{m}) \end{aligned} \right\} \text{(Born rule)}$$

$$\langle\psi|\mathbf{m} \cdot \boldsymbol{\sigma}|\psi\rangle = \mathbf{n} \cdot \mathbf{m}$$

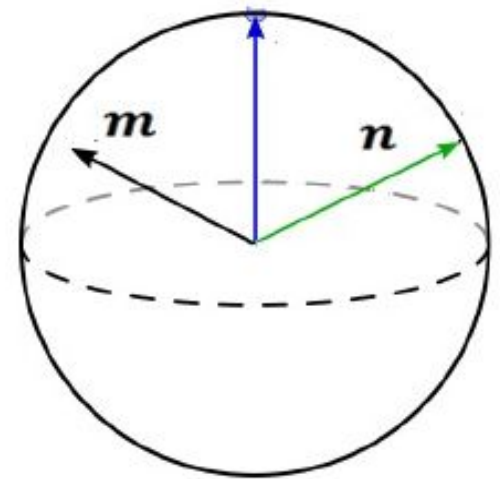
Bell Model for qubit (A different version)

Completed state: $(\psi, \lambda) \equiv (n, \lambda)$

$\lambda \in [-\frac{1}{2}, \frac{1}{2}]$ and distribution is uniform.

Definite value for completed state:

$$v_{n,\lambda}[m.\sigma] = \text{Sign}(\lambda + \frac{1}{2} |n.m| \text{Sign}(n.m))$$



$\text{Sign}(x) = 1$ when $x \geq 0$ and $\text{Sign}(x) = -1$ when $x < 0$

Let $(n.m)$ is negative

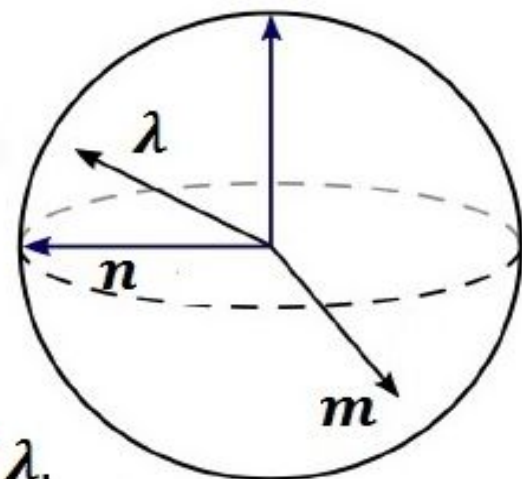
$$\begin{aligned} \langle m.\sigma \rangle &= \int_{-\frac{1}{2}}^{\frac{1}{2}} \rho(\lambda) v_{n,\lambda}[m.\sigma] d\lambda = \int_{-\frac{1}{2}}^{\frac{1}{2}} \text{Sign}(\lambda + \frac{1}{2} |n.m| \text{Sign}(n.m)) d\lambda \\ &= \int_{-\frac{1}{2}}^{\frac{1}{2}|n.m|} (-1) d\lambda + \int_{\frac{1}{2}|n.m|}^{\frac{1}{2}} (+1) d\lambda = -\frac{1}{2} [1 + |n.m|] + \frac{1}{2} [1 - |n.m|] = n.m \end{aligned}$$

K-S Model (ψ -Epistemic)

λ (Unit vector in Bloch sphere) is
state of individual particle.

$$\psi \left(= \frac{1}{2}(1 + \sigma \cdot n) \right)$$

ψ determines the distribution of λ .



$$\rho_{\psi}(\lambda) = \frac{1}{\pi} \Theta(n \cdot \lambda)(n \cdot \lambda)$$

$$\begin{aligned} \Theta(x) &= 1 \text{ for } x > 0 \\ &= 0 \text{ for } x \leq 0 \end{aligned}$$

Probability for up result along direction m is given by

$$p_{\lambda}(\text{up}) = \Theta(m \cdot \lambda)$$

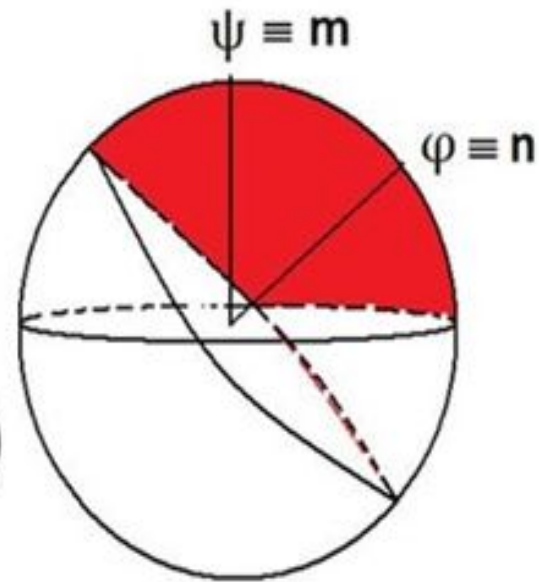
$$p(\text{up}|\psi) = \frac{1}{\pi} \int d\lambda \Theta(m \cdot \lambda) \Theta(n \cdot \lambda)(n \cdot \lambda) = \frac{1}{2}(1 + m \cdot n)$$

Some Interesting Features of ψ -Epistemic Model

For two different quantum states ψ and φ there are common ontic states.

$$p(\lambda|\psi)p(\lambda|\varphi) = \frac{1}{\pi^2} \Theta(m, \lambda) \Theta(n, \lambda) (n, \lambda) (m, \lambda)$$

is non-zero for nonorthogonal ψ and φ .



- * This can explain why non-orthogonal quantum states can not be reliably distinguished.
- * This picture can also provide a solution to the notorious collapse postulate by giving the post-measurement state as a updating of probabilities.

Gleason's Theorem

The set of all projection operators $P(H)$.

μ is a probability measure on $P(H)$.

1) $0 \leq \mu(P) \leq 1$

2) $\mu(I) = 1$

3) $\mu(\sum P_i) = \sum \mu(P_i)$

where P_i are orthogonal projectors.

If $\text{Dim}(H) \geq 3$, then there exists a density operator ρ ,
such that

$$\mu(P) = \text{Tr}[\rho P]$$

So measurement contextuality was discovered in 1957 itself though it was not appreciated before Bell put the question with glaring clarity during 1964 to 1966.

HVT in higher dimensional Hilbert space

$$\sum_{i=1}^3 P_i = I \quad \text{where} \quad P_i = |\phi_i\rangle\langle\phi_i|$$

$\{|\phi_1\rangle, |\phi_2\rangle, |\phi_3\rangle\}$ being an orthogonal basis B_1 .

Another projective measurement: $P_1 + Q_2 + Q_3 = I$

Q_2 : Projector on $\frac{1}{\sqrt{2}}(|\phi_2\rangle + |\phi_3\rangle)$ Q_3 : Projector on $\frac{1}{\sqrt{2}}(|\phi_2\rangle - |\phi_3\rangle)$

$\{|\phi_1\rangle, \frac{1}{\sqrt{2}}(|\phi_2\rangle + |\phi_3\rangle), \frac{1}{\sqrt{2}}(|\phi_2\rangle - |\phi_3\rangle)\}$ being an orthogonal basis B_2

Measurements in B_1 and B_2 are different.

A HVT is called non-contextual if it assigns value to observables in a context independent way i.e. independent of other observables along with which it is measured.

In this case non-contextuality implies,

$$v_{B_1}(P_1) = v_{B_2}(P_1)$$

Now if there is non-contextual HVT, the probability measure on projectors has to satisfy the following conditions;

1. $\mu(P) = \text{Tr}[\rho P]$ (Gleason's theorem)

2. $\mu(P) = 1 \text{ or } 0$

for all projection operators P .

$\text{Tr}[\rho P]$ does not depend on the context in which P appears
hence non-contextual.

But ρ being a density matrix, there is always a projector Q such that

$$\text{Tr}[\rho Q] \neq 1, 0$$

Various versions of Kochen-Specker theorem prove the same with finite no. of projectors.

Impossibility of Non-contextual HVT

18 Vectors in 4-dimension:

$\varphi_1 = (0, 0, 0, 1)$	$\varphi_2 = (0, 0, 1, 0)$	$\varphi_3 = (1, 1, 0, 0)$
$\varphi_4 = (1, -1, 0, 0)$	$\varphi_5 = (0, 1, 0, 0)$	$\varphi_6 = (1, 0, 1, 0)$
$\varphi_7 = (1, 0, -1, 0)$	$\varphi_8 = (1, -1, 1, -1)$	$\varphi_9 = (0, 0, 1, 1)$
$\varphi_{10} = (1, 1, 1, 1)$	$\varphi_{11} = (0, 1, 0, -1)$	$\varphi_{12} = (1, 0, 0, 1)$
$\varphi_{13} = (1, 0, 0, -1)$	$\varphi_{14} = (0, 1, -1, 0)$	$\varphi_{15} = (1, 1, -1, 1)$
$\varphi_{16} = (1, 1, 1, -1)$	$\varphi_{17} = (-1, 1, 1, 1)$	$\varphi_{18} = (1, -1, -1, 1)$

Rules of Value Assignment:

1) $v(\phi_i) \equiv v(|\phi_i\rangle\langle\phi_i|) = 0, 1$

2) $\sum v(\phi_i) = 1, \{|\phi_i\rangle\}$ *form an orthogonal basis.*

$$v(\varphi_1) + v(\varphi_2) + v(\varphi_3) + v(\varphi_4) = 1$$

$$v(\varphi_1) + v(\varphi_5) + v(\varphi_6) + v(\varphi_7) = 1$$

$$v(\varphi_8) + v(\varphi_{18}) + v(\varphi_3) + v(\varphi_9) = 1$$

$$v(\varphi_8) + v(\varphi_{10}) + v(\varphi_7) + v(\varphi_{11}) = 1$$

$$v(\varphi_2) + v(\varphi_5) + v(\varphi_{12}) + v(\varphi_{13}) = 1$$

$$v(\varphi_{18}) + v(\varphi_{10}) + v(\varphi_{13}) + v(\varphi_{14}) = 1$$

$$v(\varphi_{15}) + v(\varphi_{16}) + v(\varphi_4) + v(\varphi_9) = 1$$

$$v(\varphi_{15}) + v(\varphi_{17}) + v(\varphi_6) + v(\varphi_{11}) = 1$$

$$v(\varphi_{16}) + v(\varphi_{17}) + v(\varphi_{12}) + v(\varphi_{14}) = 1$$

If added, the L.H.S. is even as every vector has appeared twice and the R.H.S. is odd.

It shows that non-contextual HVT, in general can not reproduce quantum mechanics.

ψ - Epistemic theory makes life simple

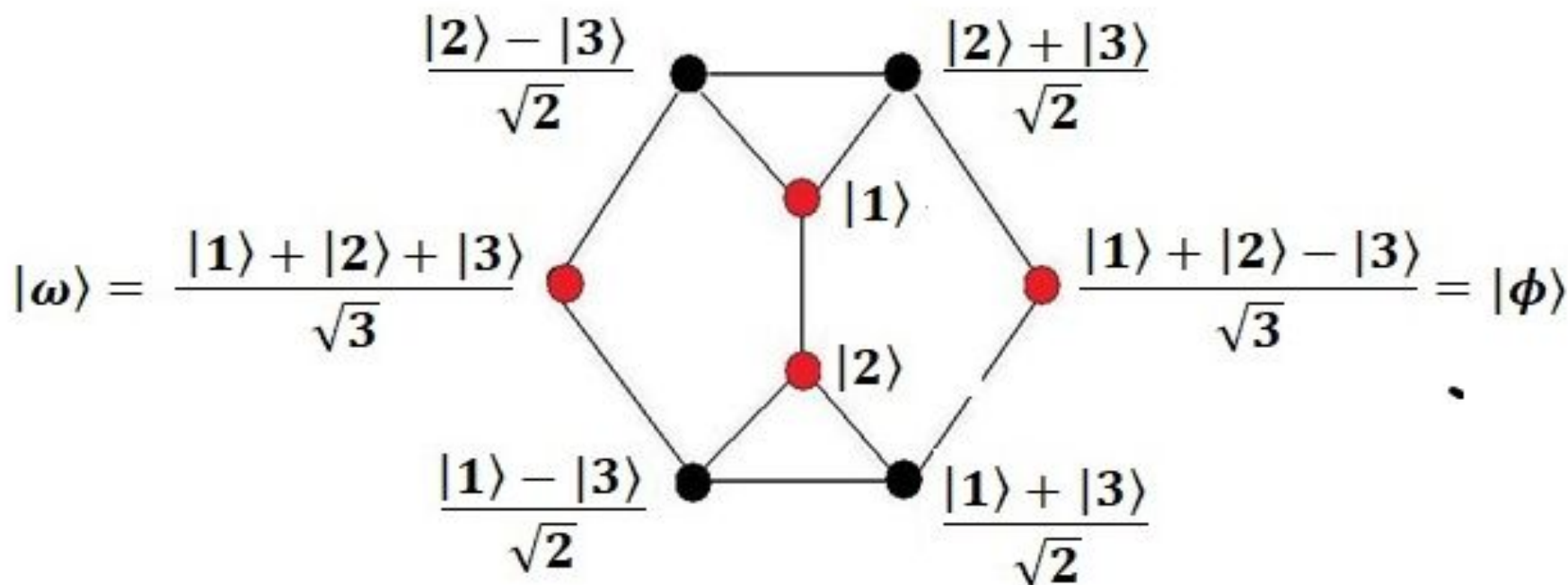
$|\phi\rangle$ *and* $|\omega\rangle$ *are non-orthogonal.*

There may exist λ such that

$$\theta_\psi(\lambda) \neq 0 \text{ and } \theta_\omega(\lambda) \neq 0$$

We consider such HVT state λ and observe the following

For this HVT state, $v_\lambda(P_\psi) = 1$ and $v_\lambda(P_\phi) = 1$



(Leifer & Spekkens, 2005, PRL)

Preparation Contextuality of qubit

(Spekkens, PRA, 2005)

Various decomposition of ρ_n

$$\rho_n = \frac{1-q}{2} |\phi_n^\perp\rangle\langle\phi_n^\perp| + \frac{1+q}{2} |\phi_n\rangle\langle\phi_n|$$

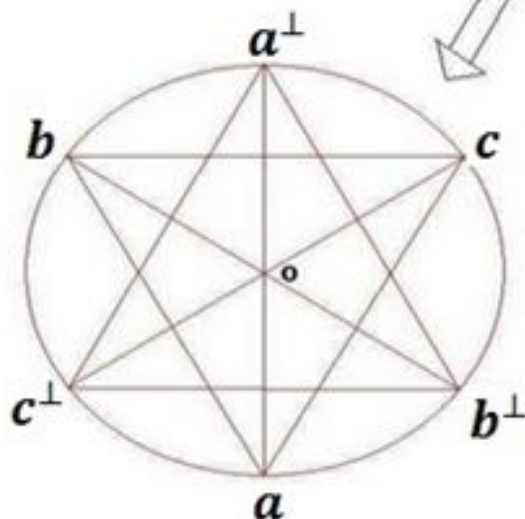
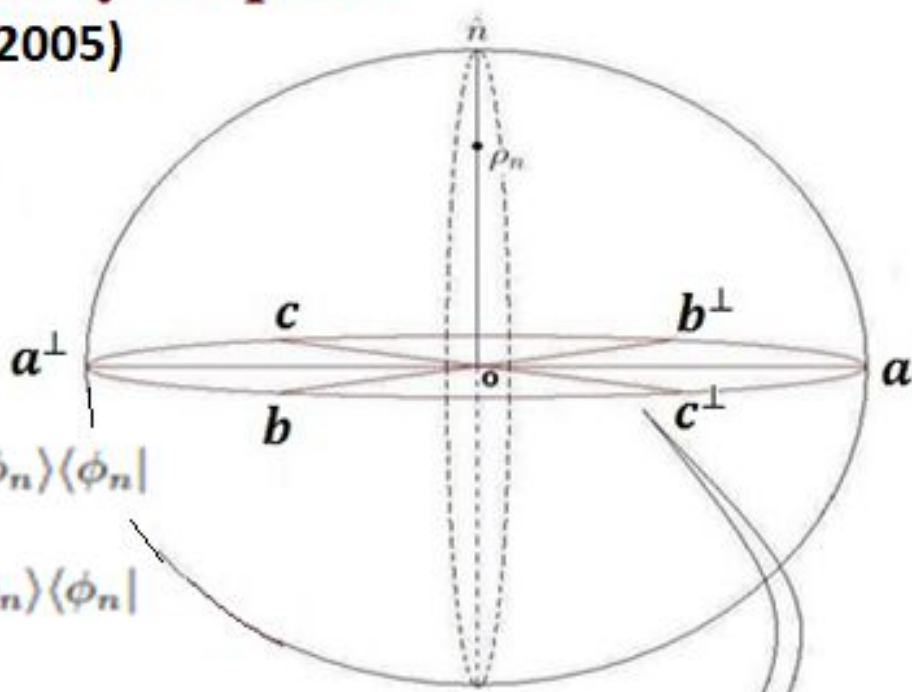
$$\rho_n = \frac{1-q}{2} (|\psi_a\rangle\langle\psi_a| + |\psi_a^\perp\rangle\langle\psi_a^\perp|) + q |\phi_n\rangle\langle\phi_n|$$

$$\rho_n = \frac{1-q}{2} (|\psi_b\rangle\langle\psi_b| + |\psi_b^\perp\rangle\langle\psi_b^\perp|) + q |\phi_n\rangle\langle\phi_n|$$

$$\rho_n = \frac{1-q}{2} (|\psi_c\rangle\langle\psi_c| + |\psi_c^\perp\rangle\langle\psi_c^\perp|) + q |\phi_n\rangle\langle\phi_n|$$

$$\rho_n = \frac{1-q}{3} (|\psi_a\rangle\langle\psi_a| + |\psi_b\rangle\langle\psi_b| + |\psi_c\rangle\langle\psi_c|) + q |\phi_n\rangle\langle\phi_n|$$

$$\rho_n = \frac{1-q}{3} (|\psi_a^\perp\rangle\langle\psi_a^\perp| + |\psi_b^\perp\rangle\langle\psi_b^\perp| + |\psi_c^\perp\rangle\langle\psi_c^\perp|) + q |\phi_n\rangle\langle\phi_n|$$



Preparation non-contextuality implies:

$$\begin{aligned}\mu(\lambda|\rho_n) &= \frac{1-q}{2}\mu(\lambda|\phi_n^\perp) + \frac{1+q}{2}\mu(\lambda|\phi_n) \\ &= \frac{1-q}{2}[\mu(\lambda|\psi_a) + \mu(\lambda|\psi_a^\perp)] + q\mu(\lambda|\phi_n) \\ &= \frac{1-q}{2}[\mu(\lambda|\psi_b) + \mu(\lambda|\psi_b^\perp)] + q\mu(\lambda|\phi_n) \\ &= \frac{1-q}{2}[\mu(\lambda|\psi_c) + \mu(\lambda|\psi_c^\perp)] + q\mu(\lambda|\phi_n) \\ &= \frac{1-q}{3}[\mu(\lambda|\psi_a) + \mu(\lambda|\psi_b) + \mu(\lambda|\psi_c)] + q\mu(\lambda|\phi_n) \\ &= \frac{1-q}{3}[\mu(\lambda|\psi_a^\perp) + \mu(\lambda|\psi_b^\perp) + \mu(\lambda|\psi_c^\perp)] + q\mu(\lambda|\phi_n)\end{aligned}$$

Orthogonality implies:

$$\mu(\lambda|\phi_n)\mu(\lambda|\phi_n^\perp) = 0$$

$$\mu(\lambda|\psi_a)\mu(\lambda|\psi_a^\perp) = 0$$

$$\mu(\lambda|\psi_b)\mu(\lambda|\psi_b^\perp) = 0$$

$$\mu(\lambda|\psi_c)\mu(\lambda|\psi_c^\perp) = 0$$

All these equations imply:

$$\begin{aligned}\mu_{\phi_n}(\lambda) &= \mu_{\phi_n^\perp}(\lambda) = \mu_{\phi_a}(\lambda) = \mu_{\phi_a^\perp}(\lambda) = \mu_{\phi_b}(\lambda) = \mu_{\phi_b^\perp}(\lambda) \\ &= \mu_{\phi_c}(\lambda) = \mu_{\phi_c^\perp}(\lambda) = 0\end{aligned}$$

(Spekkens, Phys.Rev.A, 2005 & M.Banik et al, Found. Phys., 2014)

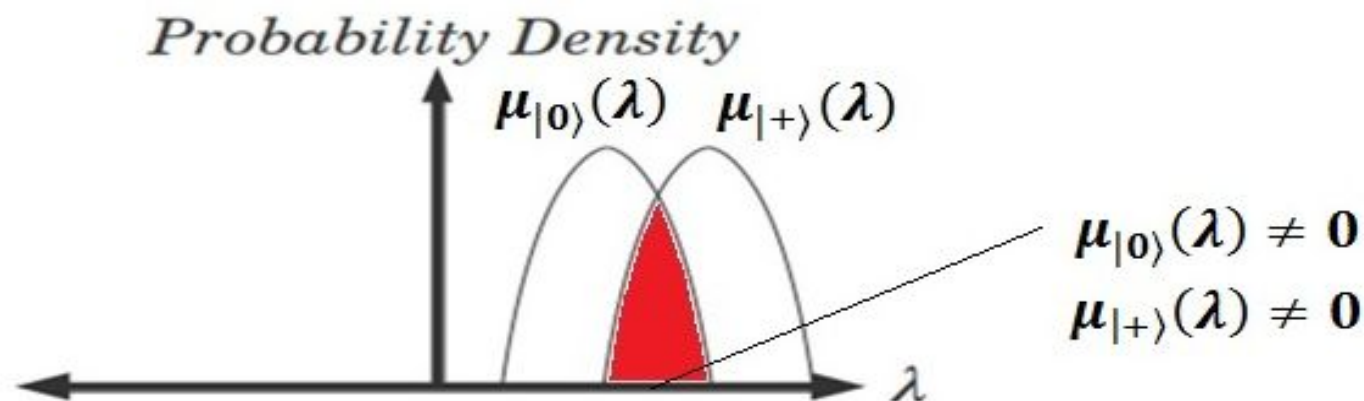
Bolt from the blue

(PBR-Result)

Consider the following states:

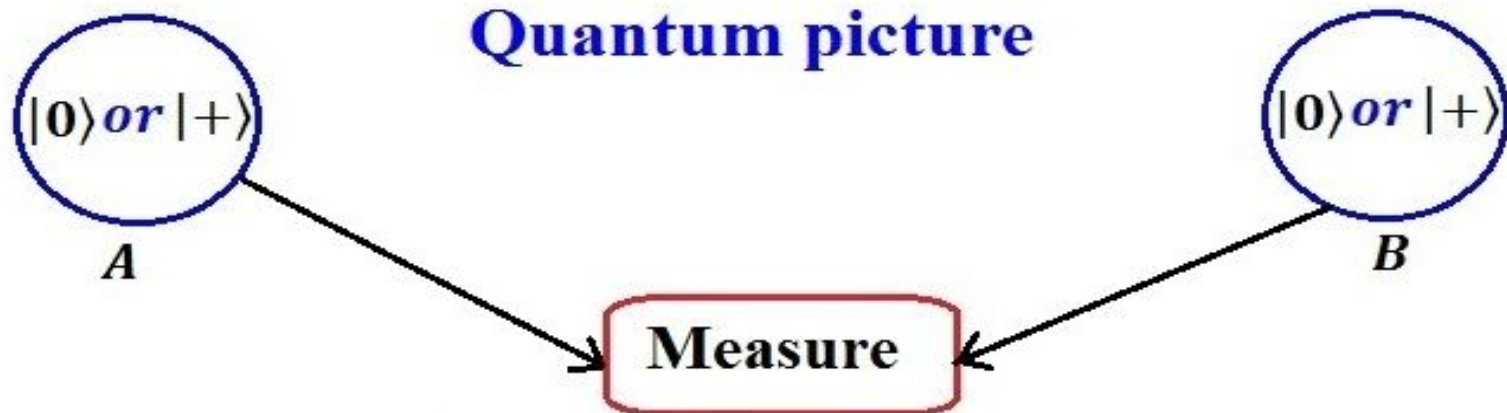
$$|0\rangle \text{ and } |+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$$

In ψ – Epistemic picture:



So it is expected that when the ontic state λ comes from the red portion, one will not be able to distinguish the quantum states.

Quantum picture



Possible Quantum states:

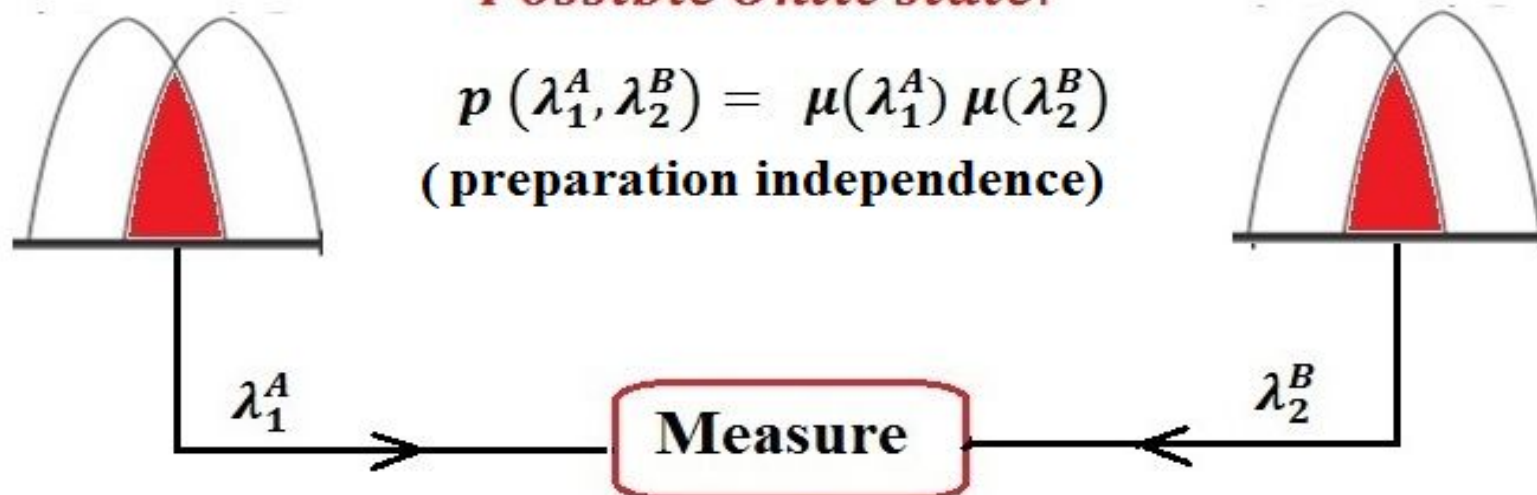
$$|0\rangle_A \otimes |0\rangle_B, |+\rangle_A \otimes |0\rangle_B, |0\rangle_A \otimes |+\rangle_B, |+\rangle_A \otimes |+\rangle_B$$

In ψ – Epistemic picture:

Possible Ontic state:

$$p(\lambda_1^A, \lambda_2^B) = \mu(\lambda_1^A) \mu(\lambda_2^B)$$

(preparation independence)



Argument based on ψ – *Epistemic* model would say that in such cases, measurement should not be able even to discard any one of the four states.

Measurement basis	State	Prob. of occurrence
$\frac{1}{\sqrt{2}} [0\rangle \otimes 1\rangle + 1\rangle \otimes 0\rangle]$	$ 0\rangle_A \otimes 0\rangle_B$	0
$\frac{1}{\sqrt{2}} [0\rangle \otimes -\rangle + 1\rangle \otimes +\rangle]$	$ 0\rangle_A \otimes +\rangle_B$	0
$\frac{1}{\sqrt{2}} [+\rangle \otimes 1\rangle + -\rangle \otimes 0\rangle]$	$ +\rangle_A \otimes 0\rangle_B$	0
$\frac{1}{\sqrt{2}} [+\rangle \otimes -\rangle + -\rangle \otimes +\rangle]$	$ +\rangle_A \otimes +\rangle_B$	0

So for every outcome one state can be excluded which goes against ψ – *Epistemic* theory of QM.

Towards ψ -Complete Theory?

$$p(x|a, b, \lambda) = p(x|a, \lambda)$$

$$p(y|a, b, \lambda) = p(y|b, \lambda)$$

λ *may include quantum state* ψ .

$$\begin{aligned}\int p(x, y|a, b, \lambda) \mu(\lambda) d\lambda &= p(x, y|a, b, \psi_{AB}) \\ \int p(x|a, \lambda) \mu(\lambda) d\lambda &= p(x|a, \rho_A) \\ \int p(y|b, \lambda) \mu(\lambda) d\lambda &= p(y|b, \rho_B)\end{aligned}$$

We search for a theory for which

$$p(x|a, \lambda) \neq p(x|a, \rho_A)$$

Singlet statistics can not be reproduced by such theory.

$$p(+1 | \sigma_n, \lambda) = p\left(+1 \left| \sigma_n, \frac{I}{2} \right.\right) = p(-1 | \sigma_n, \lambda) = p\left(-1 \left| \sigma_n, \frac{I}{2} \right.\right) = \frac{1}{2}$$

(Colbeck & Renner, PRL, 2008 and Liefer, Quanta, 2014.)

Great consequence of C-R result:

$|\phi^+\rangle$ Prob. of down result is $\frac{1}{2}$ for all λ in its support

$|00\rangle$ Prob. of down result is 0 for all λ in its support



To my knowledge, this is the first time, one could show without further assumption excepting no-signaling that two non-orthogonal states are ontologically distinct.

(Leifer, Quanta, 2014)

Some questions:

- 1) How to see the preparation independence assumption of PBR result?
- 2) Does ψ – *epistemic* theory really solve the measurement problem?
- 3) What is the final implication of Colbeck-Renner result?

My Philosophical position:

I am materialist and hence believe in the existence of matter independent of our consciousness. I do not reduce matter to the sum of our sensations as preached by Positivists like Mach. The properties of matter belong to the category of Science and hence I am not afraid if rules of nature say that quantum particle may not possess definite position and momentum.

To me ψ is, of course, wave function of something that exists independently of my consciousness.